Notice of Intent to Conduct Water Quality Trading
Form 3400-206  (1/14)  Page 1 of 2

State of Wisconsin
Department of Natural Resources
101 South Webster Street
Madison WI 53707-7921
dnr.wi.gov

Notice: Pursuant to s. 283.84, Wis. Stats., and ch. NR 217 Wis. Adm. Code, this form must be completed by any WPDES permittee that is using water quality trading as a method of complying with a permit limitation. Failure to complete this form would not result in penalties. Personal information collected will be used for administrative purposes and may be provided to requesters to the extent required by Wisconsin’s Open Records Law (ss. 19.31 - 19.39, Wis. Stats.).

### Applicant Information

<table>
<thead>
<tr>
<th>Permittee Name</th>
<th>Permit Number</th>
<th>Facility Site Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Marathon City WWTP</td>
<td>WI- 0020273-09-0</td>
<td>737007920</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Facility Address</th>
<th>City</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>104 Chestnut Street</td>
<td>Marathon</td>
<td>WI</td>
<td>54448</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Project Contact Name (if applicable)</th>
<th>Address</th>
<th>City</th>
<th>State</th>
<th>ZIP Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ken Bloom</td>
<td>104 Chestnut Street</td>
<td>Marathon</td>
<td>WI</td>
<td>54448</td>
</tr>
</tbody>
</table>

### Project Name
Marathon City / Marathon County - Water Quality Trading

<table>
<thead>
<tr>
<th>Receiving Water Name</th>
<th>Parameter(s) being traded</th>
<th>HUC 12(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Big Rib River</td>
<td>Phosphorus</td>
<td>070700021003</td>
</tr>
</tbody>
</table>

Is the permittee in a point or nonpoint source dominated watershed?  
(See PRESTO results - [http://dnr.wi.gov/topic/surfacewater/presto.html](http://dnr.wi.gov/topic/surfacewater/presto.html))  
- [ ] Point source dominated  
- [ ] Nonpoint source dominated

### Credit Generator Information

Credit generator type (select all that apply):  
- [ ] Permitted Discharge (non-MS4/CAFO)  
- [ ] Permitted MS4  
- [X] Agricultural nonpoint source discharge  
- [ ] Other - Specify: ____________________________

Are any of the credit generators in a different HUC 12 than the applicant?  
- [ ] Yes; HUC 12: ____________________________  
- [ ] No  
- [ ] Unsure

Are any of the credit generators downstream of the applicant?  
- [ ] Yes  
- [ ] No  
- [ ] Unsure

Will a broker/exchange be used to facilitate trade?  
- [ ] Yes; Name: Marathon County  
- [ ] No  
- [ ] Unsure

### Point to Point Trades (Traditional Municipal / Industrial Discharge, MS4, CAFO)

<table>
<thead>
<tr>
<th>Discharge Type</th>
<th>Permit Number</th>
<th>Name</th>
<th>Contact Address</th>
<th>Is the point source credit generator currently in compliance with their permit requirements?</th>
</tr>
</thead>
<tbody>
<tr>
<td>[□] Traditional</td>
<td>[ ] MS4</td>
<td>[ ] CAFO</td>
<td>[ ]</td>
<td>[ ] Yes</td>
</tr>
<tr>
<td>[□] Traditional</td>
<td>[ ] MS4</td>
<td>[ ] CAFO</td>
<td>[ ]</td>
<td>[ ] Yes</td>
</tr>
<tr>
<td>[□] Traditional</td>
<td>[ ] MS4</td>
<td>[ ] CAFO</td>
<td>[ ]</td>
<td>[ ] Yes</td>
</tr>
<tr>
<td>[□] Traditional</td>
<td>[ ] MS4</td>
<td>[ ] CAFO</td>
<td>[ ]</td>
<td>[ ] Yes</td>
</tr>
<tr>
<td>[□] Traditional</td>
<td>[ ] MS4</td>
<td>[ ] CAFO</td>
<td>[ ]</td>
<td>[ ] Yes</td>
</tr>
</tbody>
</table>
Point to Nonpoint Trades (Non-permitted Agricultural, Non-Permitted Urban, etc.)
List the practices that will be used to generate credits:
Coordination with Marathon County is ongoing.

The Village of Marathon City recently (May 31st, 2016) submitted the WWTP Facilities Plan to the WDNR, with Water Quality Trading as the preferred option for phosphorus compliance. WQT as an option for phosphorus compliance has not yet been approved, nor have we received a response back from the DNR at this time. It is my understanding that a compliance schedule for Water Quality Trading will be included in our next WPDES Permit, therefore, I have filled out this form to the best of my ability at the time of this application.

Method for quantifying credits generated:  ☑ Monitoring
☐ Modeling, Names: ____________________________
☐ Other: ____________________________

Projected date credits will be available:

The preparer certifies all of the following:
• I am familiar with the specifications submitted for this application, and I believe all applicable items in this checklist have been addressed.
• I have completed this document to the best of my knowledge and have not excluded pertinent information.

Signature of Preparer: [Signature]
Date Signed: 6-23-2016

Authorized Representative Signature

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision. Based on my inquiry of those persons directly responsible for gathering and entering the information, the information is, to the best of my knowledge and belief, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Signature of Authorized Representative: [Signature]
Date Signed: 6/27/15