# Green Tier
## Functionally Equivalent EMS
### Audit Report Template

**Company Name:**

**Facility Name:**

**Facility Address:**

**Point of Contact:**

**Contact Phone:**

**Contact Email:**

**Lead Auditor:**

**Auditor Company:**

**Auditor Phone:**

**Auditor Email:**

**Auditor Team Members:**

<table>
<thead>
<tr>
<th>Date(s) of Audit:</th>
<th></th>
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<tbody>
<tr>
<td>Scope of Audit:</td>
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<tr>
<td>Audit Objectives:</td>
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## A. Audit Report

### Finding(s) Summary:

### Major Findings:

### Strengths:

### Observations / Comments:

Audit Report Distribution:

Audit Conclusions:

B. Declaration of Conformance

☐ CURRENTLY IN CONFORMANCE:
The EMS is mostly effective and fulfills requirements in accordance with Green Tier law [299.83 (1)(dg)].

<table>
<thead>
<tr>
<th>Auditor (please print):</th>
<th>Declaration Date:</th>
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<tbody>
<tr>
<td>Signature:</td>
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C. Declaration of Non-Conformance

☐ NOT CURRENTLY IN CONFORMANCE: The EMS for the facility/organization is not fully implemented and does not demonstrate the ability to achieve requirements for products and services in accordance with the requirements of Green Tier law [299.83 (1)(dg)]. Corrective Action Requests are attached.

If EMS is found to be non-conforming, the auditee (Green Tier participant) has 90-days to make corrections to bring EMS into conformance per Green Tier law (299.83 6m).

Auditors – Please hold the Declaration of Conformance until all minor and major nonconformances are corrected to your satisfaction.

☐ Corrective Action Plans Required

<table>
<thead>
<tr>
<th>Signature:</th>
<th>Follow-up audit/due date:</th>
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<tbody>
<tr>
<td>Notes:</td>
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☐ CORRECTIVE ACTIONS COMPLETED AND EMS FOUND TO BE FUNCTIONING (if applicable)

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<thead>
<tr>
<th>Signature:</th>
<th>Completion Date:</th>
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