

**Compliance Plan and Schedule Form Index (Information Required by Forms 4530-131 and 4530-133)**

**Air Pollution Control Operation Permit Renewal Application**

AM-587

August 2020

*Completion of this index is required for air pollution control operation permit renewal applications filed pursuant to ss. 285.62 and 285.66, Wis. Stats. This index documents whether the information required by Forms 4530-131 and 4530-133 is included in the application. Form 4530-131 is required for each emissions unit that is not an insignificant emissions unit as determined in ss. NR 407.05(4)(c)9. and 10, Wis. Adm. Code. Form 4530-133 is required for the facility. The department accepts this index for facilities and emissions units in compliance with applicable requirements, and this index supplemented with Forms 4530-131 and 4530-133 for facilities and units not in compliance with all applicable requirements. Note: Any personally identifiable information (facility names and addresses, RCO names, etc.) collected will be used for administrative purposes only and may be provided to requesters to the extent required by Wisconsin's Open Records Law [ss. 19.31-19.39, Wis. Stats.].*

Facility name:

Facility identification number:

**FACILITY REQUIREMENT COMPLIANCE PLAN AND SCHEDULE (Form 4530-133)**

Facility Requirements – Compliance Plan and Schedule

For facilities presently in compliance with all applicable requirements:

The source will continue to operate and maintain the facility in compliance with all applicable requirements.

Form 4530-132 (or other supplemental information identified on the *Applicable Requirements Form Index*) identifies new and/or updated requirements that apply or will apply to this facility during the term of the permit. The facility will meet such requirements on a timely basis.

For facilities not presently in compliance with all applicable requirements:

Form 4530-133 is completed and attached.

**EMISSION UNIT COMPLIANCE PLAN AND SCHEDULE (Form 4530-131)**

*Each emissions unit at the facility should be identified in one of the following rows.*

Unit-Specific Compliance Plan and Schedule

The following units are presently in compliance with all applicable requirements:

The facility will continue to operate and maintain these unit(s) in compliance with all applicable requirements.

Form 4530-130 (or other supplemental information identified on the *Applicable Requirements Form Index*) identifies new and/or updated requirements that apply or will apply to these unit(s) during the term of the permit. We will meet such requirements on a timely basis.

The following units are not presently in compliance with all applicable requirements:

Form 4530-131 is completed and attached for *each* unit.

**Compliance Plan and Schedule Form Index Instructions (Information required by forms 4530-131 and 4530-133)**  
Air Pollution Control Operation Permit Renewal Application -- INSTRUCTIONS

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**Section 1: Facility information**

Provide the facility name and facility identification (FID) number that appears on the annual emission inventory reports.

**Required Information:**

Section NR 407.05(4)(h), Wis. Adm. Code, requires operation permit renewal applications to contain a compliance plan. The following AIR POLLUTION CONTROL PERMIT APPLICATION Forms require submittal of this information:

- Form 4530-131 EMISSION UNIT COMPLIANCE PLAN AND SCHEDULE
- Form 4530-133 FACILITY REQUIREMENT COMPLIANCE PLAN AND SCHEDULE

Form 4530-131 is required for each emissions unit that is not an insignificant emissions unit as described in s. NR 407.05(4)(c)9. and 10., Wis. Adm. Code. Form 4530-133 is required for the facility. The department accepts this index for facilities and emissions units in compliance with applicable requirements, and this index supplemented with Forms 4530-131 and 4530-133 for source not in compliance with all applicable requirements. Use this index to document whether the required information is included in the application.

When identifying whether a facility or emissions unit is in compliance, consider:

- all applicable requirements included in the facility's current operation permit, and
- applicable requirements included in any construction permit issued to the facility, and
- any additional, new or updated applicable requirements identified on Forms 4530-130 and 4530-132 and/or any supplemental information identified on the *Applicable Requirements Form Index*.

See definition of "applicable requirement" in s. NR 400.02(26), Wis. Adm. Code. Annual and semiannual compliance monitoring reports may be referenced when determining compliance with applicable requirements.

**Section 2: Facility requirements - compliance plan and schedule**

Use the *Facility Requirements – Compliance Plan and Schedule* row to identify whether the facility is in compliance with all applicable facility-wide requirements. If the facility is currently in compliance, review the statements and commit to continuing to operate in compliance and to operate in compliance with any new applicable requirements in a timely manner by checking the appropriate boxes. Inclusion of these statements in the compliance plan submitted with the renewal application is required. If the facility is not presently in compliance with all applicable facility-wide requirements, check the appropriate box and complete and attach Form 4530-133.

**Section 3: Unit-specific requirements – compliance plan and schedule**

Use the *Unit-Specific – Compliance Plan and Schedule* rows to indicate for each unit at the facility whether it is in compliance with all applicable unit-specific requirements. List units currently in compliance and commit to continuing to operate in compliance and to operate in compliance with any new applicable requirements in a timely manner. Inclusion of these statements in the compliance plan submitted with the renewal application is required. List units not presently in compliance with all applicable unit-specific requirements and check the appropriate box and complete and attach a Form 4530-131 for *each* unit. **NOTE: Each emissions unit at the facility should be identified in one of these rows.**

**Reminders**

1. See the item-by-item instructions on the back of each form for additional information about the required information.
2. Use additional copies of this index to provide information for all emissions units at the facility.
  - Refer to the Preamble to the Operation Permit for a list of the existing emissions unit covered by the current operation permit.
  - Refer to any construction permits or construction permit exemption determinations to identify units that may not be included in the current operation permit.
  - Include all units at the facility.