

**Notice:** This form is authorized by ss. 31.385 and 227.11, Wis. Stats. You must fully complete this form in order to be considered for financial assistance under ch. NR 335, Wis. Adm. Code. Personal information collected will be used for administrative purposes and may be provided to requesters to the extent required by Wisconsin's Public Records Law [ss. 19.31-19.39, Wis. Stats.].

**Section 1: Applicant Information**

Applicant: Legal Name of Dam Owner			Name of Owner's Consultant		
Address			Address		
City	State	ZIP Code	City	State	ZIP Code
Name of Authorized Representative			Name of Project Engineer <i>(must be registered in WI)</i>		
Title of Authorized Representative			Engineer Telephone Number		
Telephone Number	Email Address		Engineer Email Address		

**Section 2: Dam Information**

Name of Dam	Waterway Impounded	Township	Range <input type="radio"/> E <input type="radio"/> W	Section	County
		N			

**Section 3: Required Attachments and Approvals (s. NR 335.07, Wis. Adm. Code)**

**Date of Inspection Report or Order to Repair or Abandon Dam (mm/dd/yyyy)** \_\_\_\_\_

**A. Required Attachments:**

- **Resolution #1:** Authorized representative, per s. NR 335.07(2)(a)1., Wis. Adm. Code.
- **Resolution #2:** Agreement to pay cost share, per s. NR 335.07(2)(a)2., Wis. Adm. Code.
- **Map:** Showing property lines and owners adjacent to dam.
- **Land Use Letter** (if applicable): Notification of possible change in land use, per s. NR 335.07(2)(b), Wis. Adm. Code.
- **Deed:** For property on which dam is located. Property tax record will not be acceptable; must attach Deed or, in absence of a deed, a letter opinion from the local title company telling property owner name and if there are any encumbrances.
- **Cost Estimate**
- **Project Description**

**B. Required Attachment Based on Project Type:**

- Dams to be Repaired or Modified** - Submit all data and calculations to show that the dam will meet all requirements of ch. NR 333, Wis. Adm. Code, where applicable:
- Dam failure analysis, per s. NR 335.07(2)(e)1., Wis. Adm. Code
  - or -
  - If analysis has already been submitted, provide submission or approval date: \_\_\_\_\_
- Dams to be Abandoned and Removed** - All data and calculations to show that the dam will meet the requirements of ch. 31, Wis. Stats.
- Abandonment permit or application, per s. NR 335.07(2)(f)1., Wis. Adm. Code
  - Floodplain information for regional flood without dam, per s. NR 335.07(2)(f)2., Wis. Adm. Code

**C. Required Attachments for Ranking:** Provide additional attachments as detailed in Section 6.

**Section 4: Estimated Eligible Project Costs**

<b>1. CONSULTANT/CONTRACTUAL SERVICES ENGINEERING</b>	
a. Dam Failure/Flood Analysis	
b. Grant Application	
c. Plans and Specifications	
d. Construction-Related Services	
e. Emergency Action Plan/Inspection, Operation and Maintenance Plan	
Subtotal	
<b>2. CONSTRUCTION</b>	
<b>3. TOTAL ESTIMATED PROJECT COST</b>	

# Municipal Dam Grant Program Grant Application

Form 3500-088 (R 09/21)

## Section 5: Amount of Grant Request (not to exceed \$1,000,000)

**DAM ABANDONED AND REMOVED**    100% Up to \$1,000,000

**DAM REPAIR OR MODIFY**    Tier 1: 50% of first \$1,000,000

DNR Cost Share First Tier    \_\_\_\_\_

Sponsor Cost Share First Tier    \_\_\_\_\_

Tier 2: 25% of the next \$2,000,000

DNR Cost Share Second Tier    \_\_\_\_\_

Sponsor Cost Share Second Tier    \_\_\_\_\_

**TOTAL DNR Cost Share**    \_\_\_\_\_

**TOTAL Sponsor Cost Share**    \_\_\_\_\_

## Section 6: Criteria For Priority Ranking (s. NR 335.09, Wis. Adm. Code)

**INSTRUCTIONS:** The Municipal Dam Grant ranking criteria is based on NR 335. The questions below are based on requirements in Wisconsin State Statutes Chapter 30 and 31, Wis. Admin. codes, NR 1, NR 5, NR 102, NR 104, NR 116, and NR 333. Most of the information needed to answer the questions for the ranking criteria should be available in your dam files. Definitions to terms are found in the administrative codes. Detailed instructions on how to complete the ranking criteria worksheet can be found on the DNR grant website: <https://dnr.wi.gov/aid/dammunicipal.html> under the tab labeled applying.

I. Hazard Potential (maximum points 70)	Refer to the grant application instructions pages 4 & 5	Answer	Available Points	Generated Points
A. Hazard potential based on current land use downstream of the dam. 1. High hazard?		<input type="radio"/> Yes <input type="radio"/> No		
2. Significant hazard?		<input type="radio"/> Yes <input type="radio"/> No		
B. Is this a large dam as defined by NR 333?		<input type="radio"/> Yes <input type="radio"/> No		
C. Land use controls currently in effect downstream of the dam. 1. Land use controls (zoning) that includes the hydraulic shadow of the dam or a compliant dam as defined by NR 116.08(3)? If yes provide supporting documentation.		<input type="radio"/> Yes <input type="radio"/> No		
2. Land use controls (zoning) that is based on the effective FIRM (100yr flood event mapping)? If yes provide supporting documentation.		<input type="radio"/> Yes <input type="radio"/> No		
<b>Part I. Total Points</b>				
II. Purpose of the Project (maximum points 50)		Answer	Available Points	Generated Points
A. Is this a dam removal project?		<input type="radio"/> Yes <input type="radio"/> No		
B. Is this a project to increase spillway capacity to meet NR 333 requirements?		<input type="radio"/> Yes <input type="radio"/> No		
<b>Part II. Total Points</b>				
III. Proactive Safety Measures (maximum points 50)		Answer	Available Points	Generated Points
A. Is there a written Emergency Action Plan for the dam on file with the WDNR?		<input type="radio"/> Yes <input type="radio"/> No		
B. Has the EAP been updated or tested within the past 24 months? Identify date of update or test: _____		<input type="radio"/> Yes <input type="radio"/> No		
C. Is there a written Inspection, Operation, and Maintenance (IOM) Plan for the dam on file with the WDNR?		<input type="radio"/> Yes <input type="radio"/> No		
D. Have you implemented the approved IOM? If yes, provide copies of completed check lists.		<input type="radio"/> Yes <input type="radio"/> No		
<b>Part III. Total Points</b>				
IV. Financial Considerations (maximum points 20)	Refer to the grant application instructions pages 6 & 7	Answer	Available Points	Generated Points
A. Do you have a dedicated fund or a special assessment district to cover maintenance and operations? If yes, provide supporting documentation.		<input type="radio"/> Yes <input type="radio"/> No		
<b>Part IV. Total Points</b>				

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V. Public Interest (maximum points 60)	Answer	Available Points	Generated Points
<p>The next questions are based on the navigability of the stream, the stream's classification, and the type of the project. Answer 'Y' where applicable in the appropriate project type (A or B). Please reference the DNR Water Condition Viewer website for waterway information. <a href="https://dnrmaps.wi.gov/H5/?viewer=Water_Condition_Viewer">https://dnrmaps.wi.gov/H5/?viewer=Water_Condition_Viewer</a></p>			
<input type="radio"/> A. For a dam repair or reconstruction project: on a limited forage fish stream? (LFF) on a limited aquatic life stream? (LAL) on a non-navigable stream? where there is public access to the impoundment? If yes, provide proof of public access where there is a boat landing on the impoundment meeting NR 1.91(4.)? If yes, provide proof of boat landing.	<b>Answer "Yes" where applicable</b>		
	<input type="radio"/> Yes <input type="radio"/> No		
	<input type="radio"/> Yes <input type="radio"/> No		
	<input type="radio"/> Yes <input type="radio"/> No		
	<input type="radio"/> Yes <input type="radio"/> No		
<input type="radio"/> B. For a dam removal project: on an outstanding resource water? (ORW) on an exceptional resource water? (ERW) on a cold or cool water stream? on a warm water sport fish? on any other stream classification or on a non-navigable waterway?	<b>Answer "Yes" where applicable</b>		
	<input type="radio"/> Yes <input type="radio"/> No		
	<input type="radio"/> Yes <input type="radio"/> No		
	<input type="radio"/> Yes <input type="radio"/> No		
	<input type="radio"/> Yes <input type="radio"/> No		
<b>Part V. Total Points</b>			
VI. Inspections and Orders (maximum points 40)	Answer	Available Points	Generated Points
A. Have you met the owner required inspection requirements of ss 31.19? If yes, provide inspection log. Date of Inspection Report: _____	<input type="radio"/> Yes <input type="radio"/> No		
B. Have you met the time frames or completed the directives listed in the WDNR dam inspection or concurrence letter or WDNR issued order? If yes, provide plans and specifications as supporting documentation.	<input type="radio"/> Yes <input type="radio"/> No		
<b>Part VI. Total Points</b>			
VII. Ability to Proceed (maximum points 20)	Answer	Available Points	Generated Points
A. What is the status of your plans and specifications? Have you submitted approvable final plans and specifications for the project to DNR for review? Submit online at <a href="https://dnr.wi.gov/topic/Dams/permits.html">https://dnr.wi.gov/topic/Dams/permits.html</a> . <b>Note:</b> Plans and specifications must be submitted at least one month prior to the application deadline and all review comments addressed <u>within 30 days of the application deadline.</u>	<input type="radio"/> Yes <input type="radio"/> No		
<b>Part VII. Total Points</b>			
VIII. Other Considerations (maximum points 30)	Answer	Available Points	Generated Points
A. This project would represent a first time award of a Municipal Dam Grant for the dam.	<input type="radio"/> Yes <input type="radio"/> No		
B. Is the total eligible project cost estimated to be greater than \$500,000?	<input type="radio"/> Yes <input type="radio"/> No		
<b>Part VIII. Total Points</b>			

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<b>IX. Capita Income Calculation (maximum points 40)</b>	<b>Available Points</b>	<b>Generated Points</b>
<p>DNR will adjust the Grand Total Points Score based on the capita income as a percentage of the State average of the municipality where the dam structure is located using the following ranking:</p>	<p>135% and over 100% to less than 135% 89% to less than 100% 80% to less than 89% 72% to less than 80% 65% to less than 72% 59% to less than 65% 54% to less than 59% 50% to less than 54% Less than 50%</p>	
<b>Part IX. Total Points</b>		
<b>X. Point Grand Total</b>		<b>Total Points</b>
	<b>Part I - VIII Total Points</b>	
	<b>Part IX Total Points</b>	
	<b>GRAND TOTAL POINTS</b>	

**Section 7. Certification**

By submitting this application electronically, the authorized representative certifies that the information in this application and all uploaded documents are true and correct to the best of their knowledge. It is understood and agreed that any grant funds awarded as a result of this application shall be used in compliance with ss. 31.385 and 227.11, Wis. Stats., and ch. NR 335, Wis. Adm. Code. It is also understood that, by submitting this application, the dam identified in the application will be added to the dam grant inventory and the DNR will only hold a hearing concerning this dam if the dam owner files a request for a hearing with the DNR.

**NOTE:** Please type your name on the signature line. The email message generated from electronic submittal of this form will be used as an electronic signature.

\_\_\_\_\_  
Signature of Authorized Representative

\_\_\_\_\_  
Date Signed