State of Wisconsin Department of Natural Resources PO Box 7921, Madison WI 53707-7921 dnr.wi.gov

Technical Assistance, Environmental Liability Clarification or Post-Closure Modification Request

Form 4400-237 (R 12/18) Page 1 of 7

Notice: Use this form to request a written response (on agency letterhead) from the Department of Natural Resources (DNR) regarding technical assistance, a post-closure change to a site, a specialized agreement or liability clarification for Property with known or suspected environmental contamination. A fee will be required as is authorized by s. 292.55, Wis. Stats., and NR 749, Wis. Adm. Code., unless noted in the instructions below. Personal information collected will be used for administrative purposes and may be provided to requesters to the extent required by Wisconsin's Open Records law [ss. 19.31 - 19.39, Wis. Stats.].

Definitions

- "Property" refers to the subject Property that is perceived to have been or has been impacted by the discharge of hazardous substances.
- "Liability Clarification" refers to a written determination by the Department provided in response to a request made on this form. The response clarifies whether a person is or may become liable for the environmental contamination of a Property, as provided in s. 292.55, Wis. Stats.
- "Technical Assistance" refers to the Department's assistance or comments on the planning and implementation of an environmental investigation or environmental cleanup on a Property in response to a request made on this form as provided in s. 292.55, Wis. Stats.
- "Post-closure modification" refers to changes to Property boundaries and/or continuing obligations for Properties or sites that received closure letters for which continuing obligations have been applied or where contamination remains. Many, but not all, of these sites are included on the GIS Registry layer of RR Sites Map to provide public notice of residual contamination and continuing obligations.

Select the Correct Form

This from should be used to request the following from the DNR:

- Technical Assistance
- Liability Clarification
- Post-Closure Modifications
- Specialized Agreements (tax cancellation, negotiated agreements, etc.)

Do not use this form if one of the following applies:

- Request for an off-site liability exemption or clarification for Property that has been or is perceived to be contaminated by one
 or more hazardous substances that originated on another Property containing the source of the contamination. Use DNR's Off-Site
 Liability Exemption and Liability Clarification Application Form 4400-201.
- Submittal of an Environmental Assessment for the Lender Liability Exemption, s 292.21, Wis. Stats., if no response or review by DNR is requested. Use the Lender Liability Exemption Environmental Assessment Tracking Form 4400-196.
- Request for an exemption to develop on a historic fill site or licensed landfill. Use DNR's Form 4400-226 or 4400-226A.
- Request for closure for Property where the investigation and cleanup actions are completed. Use DNR's Case Closure GIS Registry Form 4400-202.

All forms, publications and additional information are available on the internet at: dnr.wi.gov/topic/Brownfields/Pubs.html.

Instructions

- 1. Complete sections 1, 2, 6 and 7 for all requests. Be sure to provide adequate and complete information.
- 2. Select the type of assistance requested: Section 3 for technical assistance or post-closure modifications, Section 4 for a written determination or clarification of environmental liabilities; or Section 5 for a specialized agreement.
- 3. Include the fee payment that is listed in Section 3, 4, or 5, unless you are a "Voluntary Party" enrolled in the Voluntary Party Liability Exemption Program **and** the questions in Section 2 direct otherwise. Information on to whom and where to send the fee is found in Section 8 of this form.
- 4. Send the completed request, supporting materials and the fee to the appropriate DNR regional office where the Property is located.

See the map on the last page of this form. A paper copy of the signed form and all reports and supporting materials shall be sent with an electronic copy of the form and supporting materials on a compact disk. For electronic document submittal requirements see: http://dnr.wi.gov/files/PDF/pubs/rr/RR690.pdf"

The time required for DNR's determination varies depending on the complexity of the site, and the clarity and completeness of the request and supporting documentation.

Form 4400-237 (R 12/18)

Page 2 of 7

Section 1. Contact and R	ecipient Information					
Requester Information						
	technical assistance or a post-c identified as the requester in Sc					
Last Name	First	MI	Organization/ Bus	iness Name		
Wahl	Scott		Tyco Fire Produ	icts LP		
Mailing Address		I	City		State	ZIP Code
2700 Industrial Parkway S	South		Marinette		WI	54143
Phone # (include area code)	Fax # (include area code)		Email		•	
The requester listed above: (s	select all that apply)					
x Is currently the owner			s considering s	selling the Property		
Is renting or leasing the	e Property		s considering a	acquiring the Property		
Is a lender with a morto	gagee interest in the Property					
Other. Explain the state	us of the Property with respect to	o the a	applicant:			
_						
	be contacted with questions				ct if sar	me as requester
Contact Last Name	First	MI	Organization/ Bus	siness Name		
Verburg Mailing Address	Ben		Arcadis		State	ZIP Code
•	. 400		City			
126 N Jefferson Street, Su Phone # (include area code)	Fax # (include area code)		Milwaukee Email		WI	53202
,	rax # (include area code)			1'		
(414) 276-7742 Environmental Consult	tant (if applicable)		ben.verburg@ar	cadis.com		
Contact Last Name	First	MI	Organization/ Bus	siness Name		
Verburg	Ben		Arcadis			
Mailing Address	Don		City		State	ZIP Code
126 N Jefferson Street, Su	uite 400		Milwaukee		WI WI	53202
Phone # (include area code)	Fax # (include area code)		Email			33202
(414) 276-7742	,		ben.verburg@ar	cadis.com		
(111) 270 7712			oem veroung e un	- Cuarsiconi		
Section 2. Property Inform	ation					
Property Name				FID No. (i		n)
Tyco Fire Technology Cer	nter - PFCs		15	4380055	90	
BRRTS No. (if known)			Parcel Identification Number			
0238580694			O'th :		TO	Tain o - 1
Street Address			City State ZIP Code			
2700 Industrial Parkway South			Marinette WI 54143			
-	Municipality where the Property			Property is composed of: Single tax Multiple	tav	perty Size Acres
Marinette	City Town Village of	Mari	inette	parcel parcels	380)

Form 4400-237 (R 12/18)

Page 3 of 7

plan acco	nse needed by a specific date? (e.g., Property closing date) Note: Most requests are completed within 60 days. Please rdingly.
O No	Yes
Ü	Date requested by:
	Reason:
2. Is the "Re	quester" enrolled as a Voluntary Party in the Voluntary Party Liability Exemption (VPLE) program?
	clude the fee that is required for your request in Section 3, 4 or 5.
Yes. C	Oo not include a separate fee. This request will be billed separately through the VPLE Program.
Fill out th	e information in Section 3, 4 or 5 which corresponds with the type of request:
Section	n 3. Technical Assistance or Post-Closure Modifications;
Section	n 4. Liability Clarification; or Section 5. Specialized Agreement.
Section 3.	Request for Technical Assistance or Post-Closure Modification
Select the ty	pe of technical assistance requested: [Numbers in brackets are for WI DNR Use]
☐ No to	Further Action Letter (NFA) (Immediate Actions) - NR 708.09, [183] - Include a fee of \$350. Use for a written response an immediate action after a discharge of a hazardous substance occurs. Generally, these are for a one-time spill event.
Re	eview of Site Investigation Work Plan - NR 716.09, [135] - Include a fee of \$700.
Re	eview of Site Investigation Report - NR 716.15, [137] - Include a fee of \$1050.
Ар	proval of a Site-Specific Soil Cleanup Standard - NR 720.10 or 12, [67] - Include a fee of \$1050.
Re	eview of a Remedial Action Options Report - NR 722.13, [143] - Include a fee of \$1050.
Re	eview of a Remedial Action Design Report - NR 724.09, [148] - Include a fee of \$1050.
Re	eview of a Remedial Action Documentation Report - NR 724.15, [152] - Include a fee of \$350
Re	eview of a Long-term Monitoring Plan - NR 724.17, [25] - Include a fee of \$425.
Re	eview of an Operation and Maintenance Plan - NR 724.13, [192] - Include a fee of \$425.
Other Te	chnical Assistance - s. 292.55, Wis. Stats. [97] (For request to build on an abandoned landfill use Form 4400-226)
x Sc	hedule a Technical Assistance Meeting - Include a fee of \$700.
□ На	zardous Waste Determination - Include a fee of \$700.
Ot	her Technical Assistance - Include a fee of \$700. Explain your request in an attachment.
Post-Clos	sure Modifications - NR 727, [181]
└ sit	ost-Closure Modifications: Modification to Property boundaries and/or continuing obligations of a closed site or Property; es may be on the GIS Registry. This also includes removal of a site or Property from the GIS Registry. Include a fee of 050, and:
	Include a fee of \$300 for sites with residual soil contamination; and
	Include a fee of \$350 for sites with residual groundwater contamination, monitoring wells or for vapor intrusion continuing obligations.
Att	each a description of the changes you are proposing, and documentation as to why the changes are needed (if the change

Attach a description of the changes you are proposing, and documentation as to why the changes are needed (if the change to a Property, site or continuing obligation will result in revised maps, maintenance plans or photographs, those documents may be submitted later in the approval process, on a case-by-case basis).

Form 4400-237 (R 12/18) Page 4 of 7

Skip Sections 4 and 5 if the technical assistance you are requesting is listed above and complete Sections 6 and 7 of this for Section 4. Request for Liability Clarification

Select the type of liability clarification requested. Use the available space given or attach information, explanations, or specific questions that you need answered in DNR's reply. Complete Sections 6 and 7 of this form. [Numbers in brackets are for DNR Use]
Lender" liability exemption clarification - s. 292.21, Wis. Stats. [686]
❖ Include a fee of \$700.
Provide the following documentation:
(1) ownership status of the real Property, and/or the personal Property and fixtures;
(2) an environmental assessment, in accordance with s. 292.21, Wis. Stats.;
(3) the date the environmental assessment was conducted by the lender;
(4) the date of the Property acquisition; for foreclosure actions, include a copy of the signed and dated court order confirming the sheriff's sale.
(5) documentation showing how the Property was acquired and the steps followed under the appropriate state statutes.
(6) a copy of the Property deed with the correct legal description; and,
(7) the Lender Liability Exemption Environmental Assessment Tracking Form (Form 4400-196).
(8) If no sampling was done, please provide reasoning as to why it was not conducted. Include this either in the accompanying environmental assessment or as an attachment to this form, and cite language in s. 292. 21(1)(c)2.,hi., Wis. Stats.: h. The collection and analysis of representative samples of soil or other materials in the ground that are suspected of being contaminated based on observations made during a visual inspection of the real Property or based on aerial photographs, or other information available to the lender, including stained or discolored soil or other materials in the ground and including soil or materials in the ground in areas with dead or distressed vegetation. The collection and analysis shall identify contaminants in the soil or other materials in the ground and shall quantify concentrations.
 i. The collection and analysis of representative samples of unknown wastes or potentially hazardous substances found on the real Property and the determination of concentrations of hazardous waste and hazardous substances found in tanks, drums or other containers or in piles or lagoons on the real Property. "Representative" liability exemption clarification (e.g. trustees, receivers, etc.) - s. 292.21, Wis. Stats. [686]
❖ Include a fee of \$700.
Provide the following documentation:
(1) ownership status of the Property;
(2) the date of Property acquisition by the representative;
(3) the means by which the Property was acquired;
(4) documentation that the representative has no beneficial interest in any entity that owns, possesses, or controls the Property;
(5) documentation that the representative has not caused any discharge of a hazardous substance on the Property; and
(6) a copy of the Property deed with the correct legal description.
Clarification of local governmental unit (LGU) liability exemption at sites with: (select all that apply)
hazardous substances spills - s. 292.11(9)(e), Wis. Stats. [649];
Perceived environmental contamination - [649];
hazardous waste - s. 292.24 (2), Wis. Stats. [649]; and/or
solid waste - s. 292.23 (2), Wis. Stats. [649].
the local and a few of \$700 and a supplied when the consideration and the following supplied to
❖ Include a fee of \$700, a summary of the environmental liability clarification being requested, and the following:
 clear supporting documentation showing the acquisition method used, and the steps followed under the appropriate state statute(s).
(2) current and proposed ownership status of the Property;
(3) date and means by which the Property was acquired by the LGU, where applicable;
(4) a map and the ¼, ¼ section location of the Property;
(5) summary of current uses of the Property;
(6) intended or potential use(s) of the Property;

(7) descriptions of other investigations that have taken place on the Property; and(8) (for solid waste clarifications) a summary of the license history of the facility.

	For	rm 4400-237 (R 12/18)	Page 5 of 7
Section 4	4. Request for Liability Clarification (cont.)		
	ase liability clarification - s. 292.55, Wis. Stats. [646]		
	Include a fee of \$700 for a single Property, or \$140	0 for multiple Properties and the	e information listed below:
(1)	a copy of the proposed lease;		
(2)		•	•
(3)	a description of the lease holder's association with any hazardous substance on the Property;	/ persons who have possession, o	control, or caused a discharge of a
(4)	map(s) showing the Property location and any suspec	ted or known sources of contami	nation detected on the Property;
(5)	a description of the intended use of the Property by the be used. Explain how the use will not interfere with an		
(6)	all reports or investigations (e.g. Phase I and Phase II conducted under s. NR 716, Wis. Adm. Code) that ide		
Genera ❖	al or other environmental liability clarification - s. 292.55 Include a fee of \$700 and an adequate summary of		
☐ No	Action Required (NAR) - NR 716.05, [682]		
*	Include a fee of \$700.		
ass	se where an environmental discharge has or has not occ sessment or clean-up work is required. Usually this is re en conducted; the assessment reports should be submit arify the liability associated with a "closed" Property -s.	quested after a Phase I and Phas tted with this form. This is not a clo	se II environmental assessment has
- *	Include a fee of \$700.		
	de a copy of any closure documents if a state agency ot	her than DNR approved the closu	ıre.
-	space or attach additional sheets to provide necessary info		•
Section	5. Request for a Specialized Agreement		
Select the	e type of agreement needed. Include the appropriate draft More information and model draft agreements are availa		
	x cancellation agreement - s. 75.105(2)(d), Wis. Stats. [

- ❖ Include a fee of \$700, and the information listed below:
- (1) Phase I and II Environmental Site Assessment Reports,
- (2) a copy of the Property deed with the correct legal description.
- Agreement for assignment of tax foreclosure judgement s.75.106, Wis. Stats. [666]
 - ❖ Include a fee of \$700, and the information listed below:
 - (1) Phase I and II Environmental Site Assessment Reports,
 - (2) a copy of the Property deed with the correct legal description.
- Negotiated agreement Enforceable contract for non-emergency remediation s. 292.11(7)(d) and (e), Wis. Stats. [630]
- ❖ Include a fee of \$1400, and the information listed below:
 - (1) a draft schedule for remediation; and,
 - (2) the name, mailing address, phone and email for each party to the agreement.

Telephone Number (include area code)

Form 4400-237 (R 12/18)

Page 6 of 7

section 6. Other Information Submitted	
Identify all materials that are included with this request.	
Send both a paper copy of the signed form and all reports and support and all reports, including Environmental Site Assessment Reports, and	nd supporting materials on a compact disk.
Include one copy of any document from any state agency files that ye request. The person submitting this request is responsible for contact reports or information.	ou want the Department to review as part of this cting other state agencies to obtain appropriate
Phase I Environmental Site Assessment Report - Date:	
Phase II Environmental Site Assessment Report - Date:	
Legal Description of Property (required for all liability requests and spe	cialized agreements)
Map of the Property (required for all liability requests and specialized a	agreements)
Analytical results of the following sampled media: Select all that apply	and include date of collection.
Groundwater Soil Sediment Other medi	um - Describe:
Date of Collection:	
A copy of the closure letter and submittal materials	
Draft tax cancellation agreement	
Draft agreement for assignment of tax foreclosure judgment	
Other report(s) or information - Describe:	
For Property with newly identified discharges of hazardous substances only: losen sent to the DNR as required by s. NR 706.05(1)(b), Wis. Adm. Code?	Has a notification of a discharge of a hazardous substance
Yes - Date (if known):	
○ No	
Note: The Notification for Hazardous Substance Discharge (non-emergency) form is available at:
Section 7. Certification by the Person who completed this form	
I am the person submitting this request (requester)	
I prepared this request for: Scott Wahl	
Requester Name	_
certify that I am familiar with the information submitted on this request, and true, accurate and complete to the best of my knowledge. I also certify I have	
his request.	8/4/20
Signature	Date Signed
Senior Environmental Engineer	(815) 302-9052

Title

Form 4400-237 (R 12/18)

Page 7 of 7

Section 8. DNR Contacts and Addresses for Request Submittals

Send or deliver one paper copy and one electronic copy on a compact disk of the completed request, supporting materials, and fee to the region where the property is located to the address below. Contact a <u>DNR regional brownfields specialist</u> with any questions about this form or a specific situation involving a contaminated property. For electronic document submittal requirements see: http://dnr.wi.gov/files/PDF/pubs/rr/RR690.pdf.

DNR NORTHERN REGION

Attn: RR Program Assistant Department of Natural Resources 223 E Steinfest Rd Antigo, WI 54409

DNR NORTHEAST REGION

Attn: RR Program Assistant Department of Natural Resources 2984 Shawano Avenue Green Bay WI 54313

DNR SOUTH CENTRAL REGION

Attn: RR Program Assistant Department of Natural Resources 3911 Fish Hatchery Road Fitchburg WI 53711

DNR SOUTHEAST REGION

Attn: RR Program Assistant Department of Natural Resources 2300 North Martin Luther King Drive Milwaukee WI 53212

DNR WEST CENTRAL REGION

Attn: RR Program Assistant Department of Natural Resources 1300 Clairemont Ave. Fau Claire WI 54702



Note: These are the Remediation and Redevelopment Program's designated regions. Other DNR program regional boundaries may be different.

DNR Use Only					
Date Received	Date Assigned		BRRTS Activity Code	BRRTS No. (if used)	
DNR Reviewer		Comme	ents		
Fee Enclosed?	Fee Amount		Date Additional Information Requested	Date Requested for DNR Response Letter	
◯ Yes ◯ No	\$				
Date Approved	Final Determination				