



Our mission is to improve the standard of living for everyone by making the world more productive and sustainable. Rockwell Automation products, services and solutions contribute directly to the consistent and improved quality of hundreds of products we use every day – cars, food, drinks, personal care, pharmaceutical and more. Our technology helps our customers ensure these products are reliable, affordable and available on demand.

Executive Summary

Rockwell Automation (Rockwell) is a publically-traded industrial automation solutions provider. Today, our company is an engineering company and light-manufacturer of industrial automation components. Rockwell has a total of over 22,000 employees world-wide, with over 5, 000 employees in Wisconsin¹. In 2014, Rockwell was named to CR (Corporate Responsibility) Magazine’s “100 Best Corporate Citizens” list.

Currently, Rockwell has 24 sites certified to ISO 14001. Our Environmental Policy and a listing of our certified locations can be found on our company webpage at

<http://www.rockwellautomation.com/rockwellautomation/about-us/sustainability-ethics/environmental-policy.page>.

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¹ As of April 13, 2015

Environmental Performance

Rockwell Automation establishes environmental performance goals that support our environmental policy and address our significant impacts. The significant environmental impacts associated with our manufacturing activities are purchased electricity consumption and solid waste generation. Our fiscal year (FY) is October 1st to September 30th.

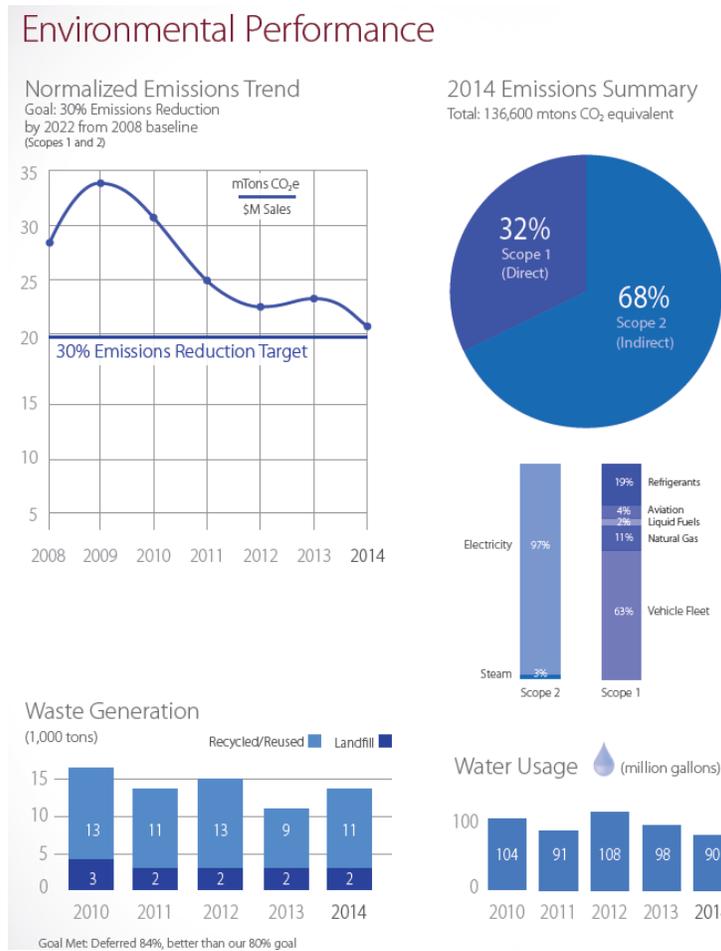


Figure 1. Graphs from the 2014 Corporate Responsibility Report

External Verification of Environmental Management System Performance

Of Rockwell Automation’s Wisconsin locations, Milwaukee had an ISO 14001 external audit in 2014. (Our facilities are on a multi-site certification with surveillance audits conducted at a portion of the facilities each year. The Ladysmith, Mequon and Richland Center locations were audited in 2013.) The audit report for Milwaukee and the associated corrective actions are attached in Appendix A.

Update of Goals

The Objectives and Targets at each facility and the progress toward each Objective and Target since the last annual Green Tier Report are listed in the tables shown below. Unless noted that a goal was initiated or completed during 2014, goals are continued into FY 2015.

Ladysmith	
Objective & Target	Progress
Reduce energy usage Determine the feasibility of an energy saving project by end of FY14	Determined that a new air compressor would benefit the electrical usage at the facility, and installed the compressor in September of 2014. Two injection molding presses were replaced with energy efficient models.
Reduce amount of solid waste going to landfill Support Quality initiatives to reduce scrap materials	On 7/17/2014, we introduced an oven and cooling unit to the bellows department (Fac ID 34332) that replaced a hot-bath that utilized diethylene Glycol. Since this hot bath has been replaced, a hazardous waste stream has been reduced. We will no longer accumulate Diethylene Glycol at this location. (MOC 2014-12).
Reduce amount of solid waste going to landfill Reduce the amount of solid waste going to landfill by 2% as normalized to employee working hours	Unable to complete, working closely with recycling companies, but had no luck recycling new materials.
Increase environmental culture and awareness Conduct 2 activities to educate and encourage environmental stewardship	Received the Ladysmith Chamber of Commerce Green Award on 4/26/2014. Earth day activities highlighted on the internal employee web-page. Participated in the local Clean-Sweep Event.

Mequon	
Objective & Target	Progress
Waste Minimization Increase facility waste deferral rate by 2% compared to FY13 monthly deferral rate average	FY14 led various projects to increase communication and expectations on recycling. Our waste deferral stayed flat at 90% FYOY with a total waste reduction of 3.29%.
Resource Conservation Investigate the feasibility of changing Power Module lab to standard building HVAC by 4/30/14	Disabling of 2 power mod humidifiers will reduce energy use by 241,263 kWh annually. The HVAC project will carry into FY15 O&T.
Waste Minimization Implement D457 returnable packaging solution to reduce natural resource use and waste disposal by 4/30/14	Eliminated packaging dunnage. This project also had a savings of \$168K and reduced space by 60%, reduced handling and throughput, and reduced lead-time from 35 to 7 days.

Reduce air emissions Substitute high VOC IPA wipes with an alternative low VOC, low flammability ECO cleaning wipe	Wipes removed from centralized racks to limited distribution cost center locations to add control and accountability. Reduction TBC post implementation.
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Milwaukee	
Objective & Targets	Progress
Reduction of energy consumption Install new energy efficient chiller unit to reduce electrical consumption	New chiller unit has saved 1,402,457 KW and \$86,000.
Reduction of energy consumption Upgrade to more efficient chemical lab	New chem lab saved 226,000 KW a year in lighting alone and \$60,000 in HVAC costs.
Increased Deferral Increase facility waste deferral rate by 2% compared to 2013 monthly average	The facility increased the deferral rate by 7% in FY14.

Richland Center	
Objective	Progress
Increase facility waste deferral metric Increase the amount of waste being deferred from landfills to >90% by working with refuse vendors to identify additional recycling streams.	Did not increase deferral rate to >90% met overall goal of deferral rate >80%. Identified additional recycling stream; composting program initiated in front office.
Decrease energy consumption facility wide Decrease energy consumption facility wide by 2% normalized to hours worked by using electrical monitoring equipment to run the facility more efficiently.	The facility reduced their energy consumption by more than 2%.
Increase environmental awareness of employees Increase the environmental awareness of employees through active participation in Earth Day activities	Successful Earth Day activities with employee and community participation.

Current Fiscal Year Goals – FY 2015

Please see the FY 2015 Objectives and Targets for the Ladysmith, Mequon, Milwaukee, and Richland Center facilities, attached in Appendix B. These Objectives and Targets support the overall corporate goals.

Transportation

Rockwell Automation is a member of EPA's SmartWay program. This program assists us in tracking our carbon footprint relating to the transportation of our product within the United States, via ground transportation. Additionally, CO₂ emissions are calculated from employee business air miles. Our fleet vehicles and corporate jet emissions are included in our emissions summary baseline.

The Mequon, Milwaukee and Richland Center locations have a ride-share program, which uses our company intra-net site to match employees looking for carpool partners. There are approximately 120 employees participating. As an incentive, active participants are put into a lottery, and each month there is a random winner of a \$50.00 gas gift card.

Communications and Employee Involvement

Since 2011, the EHSS Newsletter has reached out to employees globally to participate in company-sponsored activities in commemoration of Earth Day. Both the Milwaukee and Mequon locations hosted educational fairs for employees. The Milwaukee, Mequon and Richland Center locations also held collections of personal batteries and electronics recycling.

Employees at the Milwaukee, Mequon and Richland Center have formed Green/Sustainability Teams which work on employee led peer education, communication and sustainable initiative projects within the facility.



Ladysmith employees built an "Earth Day Tree" with each leaf describing things they loved most about Earth.



Mequon collected used electronics from employees.



Employees at the Milwaukee facility learned about the BublR Bike program in Milwaukee.

Conclusion

Rockwell Automation is committed to sustainability, whether through the conservation of energy and resources in our production processes; addressing issues such as workplace safety, product safety and reliability; or introducing automation solutions that help our customers become less wasteful and more efficient in their own production processes. However, it takes more than top-down effort to drive a sustainable mindset and corresponding behaviors across our company. It takes all of our employees across the globe becoming part of the solution.

Rockwell's Corporate Responsibility Report is included in Appendix C. This report highlights Rockwell's environmental accomplishments for FY 2014 and demonstrates one of the methods of communication Rockwell uses for Stakeholder Involvement.

Appendix A: Audit Report – Milwaukee

Milwaukee

Rockwell Automation/Milwaukee, WI

RC Audit Report

Management System Certification

Standard: ISO 14001:2004

Project No.: 99491

Audit Dates: April 14-17, 2014

Scope of Certification: The manufacture of industrial automation products including the following activities and processes: Fabrication, electro-mechanical assembly, printed circuit board assembly, motor winding, cleaning, painting and coating, repair and test, related engineering and design, shipping and receiving, maintenance, utilities and all services associated with the support of manufacturing.

DNV Team Lead: Shelley Wickman

Audit Team: Nirav Desai



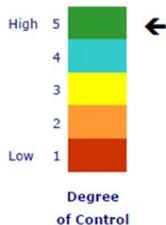
Re-certification Audit Report
ISO14001:2004

Rockwell Automation/Milwaukee, WI

Management Summary

Focus Area Results

Focus Area 1 – Contractor Management



Positive Indications

- █ The organization has an efficient three stage Contract Management system. Pre-qualification of contractor(Includes EHS criteria), After awarding contract, pre-job safety meeting and sign off documents, Training and On-the job monitoring by contract coordinator and EHS coordinator.

Main Areas for Improvement

- █ Ten contractor training records were reviewed. One of the ten did not have the initial training records. (Observation)



Rockwell Automation/Milwaukee, WI

Management Summary

Overall Summary

Key points observed during the audit not included in the Focus Areas

Positive Indications

- ✔ The Milwaukee Facility Received LEED certification in April 2014, which demonstrate the organization's commitment to become top sustainable company in the world.
- ✔ The Milwaukee facility has started construction of new lab facility with the focus on advanced fume control systems and reduction in energy consumption compare to old facility and will be ready to use by July 2014.
- ✔ The site's target for a 30% reduction in water consumption was exceeded. They achieved a 50 % water consumption reduction for year 2013.
- ✔ The Milwaukee facility received EHS Gold award from Rockwell HQ for three consecutive years, 2011, 2012 & 2013.

Main Areas for Improvement

- ✔ While interviews indicate that the site's objective of removing heaters has been completed, the records for the first 243 removed was lost. Records were lost during an event. Consider a more effective method for keeping these



Rockwell Automation/Milwaukee, WI

Management Summary

types of records. (Observation)

- ✔ IATA was not indicated as a regulatory requirement on the list of legal and other requirements. (Opportunity for improvement)
- ✔ Additional opportunities for improvement are listed on the list of findings spreadsheet.



Rockwell Automation/Milwaukee, WI

Management Summary

Key changes affecting the management system since last audit

- No major changes since last audit.
- or list key changes (Describe key organization changes, key changes in processes, etc.)

Audit Findings

Summary from the Audit

Number of nonconformities (NCs) identified during this audit:	0
Total number of Major (Cat. 1) NCs:	0
Total number of Minor (Cat. 2) NCs:	0
Number of Observations identified during this audit:	2
Number of Opportunities for Improvement identified during this audit:	3
Status of corrective actions for Non-conformities from previous audit were reviewed.	
Number of Non-conformities still not closed from previous audits:	0

Notes:

- 1) For details of Non-Conformities, Observations and Opportunities for Improvement, see attached 'List of Findings'
- 2) See Definition of findings and conditions for handling of non-conformities in Annex



Appendix B: FY 2015 Environmental Objectives and Targets for WI Locations

Ladysmith	
Objective	Target
Landfill waste reduction	Maintain a solid waste deferral rate of 85% or better
Reduce electrical usage	Achieve a 0.01% reduction in electrical usage for the facility as compared to FY14
Solid waste reduction	Reduce total waste by 1% as compared to FY14 normalized to employee working hours
Increase environmental culture and awareness	Conduct two plant wide activities to promote environmental stewardship

Mequon	
Objective	Target
Waste Minimization	Increase facility waste deferral rate by 2% compared to FY14 monthly deferral rate average
Resource Conservation	Implement the investigated Power mod Lab HVAC changes.
Reduce air emissions	Continue to substitute high VOC IPA wipes with an alternative low VOC, low flammability ECO cleaning wipe

Milwaukee	
Objective	Target
Reduction of energy consumption	Revise the free cool system in the chiller plant.
Reduction of energy consumption	Replace 8 elevators with new controls and mechanical hardware.
Resource Conservation	Investigate purchasing paper towels that are made of a higher percentage of recyclable paper
Reduction of energy consumption	Replace stage lighting with LED lights

Richland Center	
Objective	Target
Solid waste reduction	Reduce amount of packaging waste by utilizing reusable packaging within the supply chain
Resource conservation	Reduce energy consumption by upgrading facility equipment to more energy efficient options
Increase facility waste deferral rate	Expand composting program to production break areas

Appendix C: Rockwell Automation FY 2014 Corporate Responsibility Report

The Rockwell Automation FY 2014 Corporate Responsibility Report can be found at:

<http://www.rockwellautomation.com/rockwellautomation/about-us/sustainability-ethics/corporate-responsibility.page>