



State of Wisconsin \ DEPARTMENT OF NATURAL RESOURCES

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May 15, 2008

Mary Jo Thurman
Rockwell Automation
1201 South 2nd Street
Milwaukee, WI 53204

Subject: Acceptance Letter for Tier 1 of Green Tier

Dear Ms Thurman:

Congratulations! The Wisconsin Department of Natural Resources (DNR) is pleased to approve your application and accept you into Green Tier. This letter of acceptance covers all facilities and activities outlined by your application. This letter is issued in accordance with the Environmental Results Program Act as authorized by Wisconsin Statute section 299.83, effective May 1, 2004, when 2003 Wisconsin Act 276, commonly referred to as the "Green Tier Law", was signed into law.

This letter of acceptance recognizes Rockwell Automation's previous achievements in environmental management and your future projects and goals as described in your application (see attached). Your participation in the Green Tier program reflects your commitment to improving Wisconsin's environment through superior environmental performance. This letter serves as an acceptance of the commitments your participating facilities agreed to in your application. Should circumstances arise that may prevent the fulfillment of these commitments, please notify us. Green Tier participation is completely voluntary and therefore only at the discretion of Rockwell and the department.

By entering the Green Tier Program, you have agreed to develop and pursue goals to improve your environmental performance beyond compliance through the implementation of an Environmental Management System (EMS). You have also committed to perform an annual audit of your EMS, with every third audit performed by an outside environmental auditor approved by the department.

As a part of your commitments, you have agreed to submit to DNR an annual report regarding your participation in the program. These reports should provide an update of your current objectives and targets, as well as discuss any measured progress made toward those stated in your EMS or on your application. You should also present the results of your annual EMS audit, describing any non-conformances discovered by it and identifying actions taken or proposed to correct them. Your first report should be submitted no later than March 15, 2009.

We also ask that you consider addressing the attached list of generic environmental indicators in your annual report, especially those related to Rockwell's identified objectives and targets. This additional reporting is completely voluntary, but it can help to quantify your successes beyond those explicitly outlined in your application or EMS. This information will also help us paint a broad picture of the environmental performance of all Green Tier participants.

Our experiences have shown that organizations realize significant benefits from working regularly with an external group of interested stakeholders. As your participation in Green Tier matures, we would encourage you to consider collaborating with the department to develop such a group. We also ask that you describe this or any other communications with concerned entities in your annual report.

In recognition of Rockwell's diligent environmental stewardship as a Green Tier participant, DNR commits to inspect Rockwell at the lowest frequency permitted by law, unless DNR has reason to believe the facility is out of compliance. In addition, you are entitled to Deferred Civil Enforcement as described in Wisconsin Statute section 299.83(6m)(d). In brief, if you discover either a non-conformance with your EMS or a violation of an existing environmental requirement, provide us notice of your discovery along with a corrective action plan that conforms to the requirements in the statute. DNR will not seek to impose penalties on Rockwell for the non-conformance or violation provided Rockwell successfully implements the corrective action plan in a timely manner. Please note that nothing in this acceptance letter replaces any obligation that you have to report and correct any violations of law or regulation.

This letter authorizes your company to use the Green Tier logo on written materials related to your participating facilities. Please see the attached guidelines for further information regarding the use of the logo. Furthermore, you will receive a certificate that recognizes Rockwell's participation in Green Tier. The department has committed to annually celebrate your participation in the program through a press release, and will publicize Rockwell as a Green Tier participant on the DNR website.

Finally, Tom Eggert has been assigned as your single point of contact. He can be reached at 608 267-2761 or at thomas.eggert@wisconsin.gov. Please contact Tom with any questions concerning communications with the department, approvals you may be required to obtain, or for any technical assistance you need.

I want to welcome you as a Tier 1 participant in Green Tier and encourage you to work toward Tier 2 status in the future.

Sincerely,

/s/ Matthew Frank

Matthew J. Frank, Secretary
Wisconsin Department of Natural Resources

Attachment: Tier 1 Application
Certificate of Recognition
Environmental Results Program Logo
Generic Suite of Green Tier Indicators

The following Indicators are proposed for all Green Tier participants. In addition to this Generic List, it is expected that other indicators/metrics will come out of your Environmental Management System and would be used to document accomplishments. This Generic List is meant to help Rockwell Automation and the DNR document the relative success of the program.

Environmental Indicators

Some of the environmental indicators are already provided to the DNR. You would not be asked to report this information again if you have already reported it.

1.1 Water

- 1.1.1 Total water use
- 1.1.2 Total amount of phosphorous released into water
- 1.1.3 Total waste water produced

1.2 Air Emissions

- 1.2.1 Total greenhouse gas emissions
- 1.2.2 Total emissions of ozone-depleting substances
- 1.2.3 Total air emissions

1.3 Waste

- 1.3.1 Total solid waste produced
- 1.3.2 Total percentage of material ending up as waste
- 1.3.3 Amount/percentage of waste that is hazardous
- 1.3.4 Amount of mercury lost or released into the environment
- 1.3.5 Amount/percentage of waste recycled

1.4 Energy

- 1.4.1 Total energy used, listed by source
- 1.4.2 Amount/percentage of energy from renewable resources

1.5 Transportation

- 1.5.1 Total fuel consumption
- 1.5.2 Amount/percentage of vehicles using alternative fuels

1.6 Spills

- 1.6.1 Number of spills
- 1.6.2 Total amount of hazardous substances released due to spills

1.7 Land Use

- 1.7.1 Total amount of land owned and percentage that is permeable (not paved or covered)

1.8 TRI

- 1.8.1 Total TRI emissions

Economic Metrics

- 2.1 Total sales – revenue brought in from annual sales before subtracting any costs.
 - 2.2 Profit or loss – Quantify the profit or loss during the most recent fiscal year
 - 2.3 Workforce changes – number of people employed, and the change over the previous year (using Jan 31 as the baseline date)
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Social Metrics

- 3.1 Amount provided to support alternative transportation options for employees
- 3.2 Income inequality – the multiple between lowest paid employee and highest paid employee
- 3.3 Percent of purchases made from companies in the state