



**We're on the Web!**

<http://dnr.wi.gov/Aid/EIF.html>

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***Got Something to Say? Here's Your Chance!***

The DNR is seeking your input to help evaluate awareness of our Environmental Loans Programs (Safe Drinking Water Loan Program, Clean Water Fund Program, and Small Loan Program). Your response will help us identify new ways of improving our program. Your candid and thoughtful reply is greatly appreciated.

We estimate it will take 5 to 7 minutes for you to complete the online survey that will be available through **midnight on Friday, October 17, 2014.**

Please complete the short survey by following this link, <http://22.selectsurvey.net/DNR/TakeSurvey.aspx?SurveyID=88KK67m2>.

*Please note any personal information you provide (optional) will be used for administration purposes and may be provided to requesters to the extent required by Wisconsin's Open Records Law (ss. 19.31 – 19.39, Wis. Stats.).*

We look forward to hearing from you.

Questions regarding this survey can be directed to Michelle Eis at 608-267-0490 or [michellem.eis@wisconsin.gov](mailto:michellem.eis@wisconsin.gov).

**Upcoming Deadlines**

**September 30th:**

- Deadline for SFY 15 CWFP PF applications

**December 31st:**

- Deadline for SFY 16 CWFP and SDWLP ITAs and PERFs

***Revised Clean Water Fund Program Application - Principal Forgiveness Deadline Sept. 30, 2014***

REMINDER: You must submit a complete application to compete for SFY 2015 CWFP Principal Forgiveness to the DNR postmarked by **September 30, 2014.**

The revised CWFP Application forms are available online: [Wastewater Treatment Projects CWFP Financial Assistance Application](#) (8700-203) or [Stormwater/Urban Runoff Projects CWFP Financial Assistance Application](#) (8700-313). *Adobe Reader version 8 or higher is recommended; free downloads at <http://get.adobe.com/reader/otherversions/>.*

Questions regarding the Clean Water Fund Program and CWFP Principal Forgiveness can be directed to Candice Sovinski at 608-264-8986 or [candice.sovinski@wisconsin.gov](mailto:candice.sovinski@wisconsin.gov).



**Final IUPs  
for CFWP  
and SDWLP  
Available Online**

[dnr.wi.gov/Aid/  
LoanNews.html#tabx2](http://dnr.wi.gov/Aid/LoanNews.html#tabx2)

Direct questions or comments about Intended Use Plans to Becky Scott at 608-267-7584 or [rebecca.scott@wisconsin.gov](mailto:rebecca.scott@wisconsin.gov)

## *Policy Update: Safe Drinking Water Loan Program Intended Use Plan and Principal Forgiveness*

The DNR is changing the policy regarding “roll-down” of Principal Forgiveness (PF) for State Fiscal Year (SFY) 2015 Safe Drinking Water Loan Program (SDWLP) projects based on comments received from three individuals on the Federal Fiscal Year (FFY) 2014 Intended Use Plan (IUP). The draft IUP stated:

*“Principal forgiveness amounts may shift within the fundable range on the final funding list. If any principal forgiveness still remains after all projects in the fundable range have closed on loans, this principal forgiveness will be moved forward to the next year’s funding list. This is a change from previous years that is being instituted to remove uncertainty from the principal forgiveness allocations.”*

*Continued on page 3*

**FFY 2014  
Capitalization  
Grants Awarded  
for Funding  
SFY 2015 Projects**

*Final grant amounts  
for allocations:*

**Clean Water**

**State Revolving Funds**

Cap grant	\$37,905,000
State match	\$7,581,000
PF	\$3,094,052

**Safe Drinking Water**

**State Revolving Funds**

Cap grant	\$15,425,000
State match	\$3,085,000
PF	\$4,627,500



## *UAIS - Certification Form and Web Postings for Q & A Part II and Approved California Waiver*

A new certification form for the Use of American Iron and Steel (UAIS) is now posted on our webpage at [dnr.wi.gov/Aid/documents/EIF/Guide/UAISCert.pdf](http://dnr.wi.gov/Aid/documents/EIF/Guide/UAISCert.pdf). This is a mandatory form for all projects which should be completed after a project has been bid. The form must be completed even if it is determined that your project is exempt from the UAIS requirements. Read more about it in the article “UAIS - Certification Form and Requesting Waivers” on page 4 of our [E-Bulletin Issue 3 Volume 8](#).

U.S. Environmental Protection Agency (EPA) posted to their website “Questions and Answers Part 2” regarding UAIS. These Q&As are mainly clarifications pertaining to products, projects and process.

Also posted on their website is the approval of California’s waiver request for emergency drought projects. The waiver is specific to California and includes conditions and reporting requirements.

To view the postings, visit EPA’s State Revolving Fund American Iron & Steel Requirement webpage at [water.epa.gov/grants\\_funding/aisrequirement.cfm](http://water.epa.gov/grants_funding/aisrequirement.cfm).

If you have any questions on the UAIS requirements, the certification form, or the waiver process, please contact Becky Scott at 608-267-7584 or [rebecca.scott@wisconsin.gov](mailto:rebecca.scott@wisconsin.gov).

***Continued from page 2*** The following policy will be included in the final SDWLP IUP for SFY 2015 projects:

- For SFY 2015 SDWLP applications, unused PF will be allowed to “roll down” to the next highest-scoring project(s) **until 12/31/2014**.
- At that time, the lowest-scoring projects to which the SDWLP will allocate any SFY 2015 PF funds will be clearly identified on the Funding List.
- Remaining projects with lower scores on the Funding List will not be eligible for “roll-down” PF funds. The lowest-scoring project to which “roll-down” PF will be allocated will be awarded the maximum PF available at the time of their loan closing (up to their maximum eligible amount).
- At the end of SFY 2015, any remaining unallocated PF funds will be added to the PF funds available for SFY 2016 SDWLP applications.
- All SFY 2015 SDWLP projects are required by statute to close their loans by 6/30/2015.

**Reference:** All three commenters noted that the change in policy was put forward after the deadline for SFY 2015 SDWLP application submittal and was unfair to municipalities that submitted applications with the anticipation of potential “roll-down” PF funds. Considering the date on which the Department published the Draft IUP and first made applicants aware of this change, DNR concurs with the comments regarding the timing of this policy implementation and has modified the IUP as noted below.

**For background, “unused” PF often becomes available throughout the year due to two factors:**

- Actual PF awards are based on the final budget as determined at the time of loan closing. The final PF award, therefore, can be higher or lower than originally estimated on the Funding List, that is calculated based on estimated costs at the time of the application submittal.

- Some municipalities on the Funding List for which PF has been allocated decide to not move forward with their project, resulting in that PF becoming available for use.

Keeping the policy of “rolling down” unused PF throughout the year causes significant implementation issues and unfairness to the process. There have been situations in which municipalities below the initial PF range closed loans within the fiscal year only to later learn there would have been PF available for them if they had delayed their loan closing. One of the main criteria for awarding PF is the environmental need of the project, which is reflected in the project score. PF is awarded in project score order. When a lower scoring project receives “roll-down” PF over a higher scoring project that closed their loan prior to “roll-down” PF becoming available, the result is inconsistent with our PF award policy. The current policy rewards lower scoring projects that delay loan closings in anticipation of “roll-down” PF. In addition, delays in loan closings make it administratively difficult to manage loan program workload, activities, and financial planning. Late PF awards often result in additional time needed for municipalities to submit the required documents to close on their loans, mixing them in with the new workload for the following year’s funding cycle.

By delaying implementation of the proposed policy until SFY 2016, those applying for SFY 2016 SDWLP funding will be fully aware of this policy, and the concerns of timing, as noted by those commenting on this policy, will be alleviated. We also note that any project within the PF fundable range on the SFY 2016 Funding List will be allowed to use any remaining PF if their project costs increase and they are eligible for a higher PF award.

Direct questions about this policy update, PF, or project scores (PERF) to Robin Schmidt at 608-266-3915 or [robin.schmidt@wisconsin.gov](mailto:robin.schmidt@wisconsin.gov).

## Update: SFY 2015 Funding Lists

DNR has received the Federal Fiscal Year (FFY) 2014 Capitalization Grants from the U.S. Environmental Protection Agency (EPA). The Grant monies will be used by the Clean Water and Drinking Water State Revolving Funds (SRF) to fund State Fiscal Year (SFY) 2015 clean water and drinking water projects.

The Environmental Loans staff anticipates the Safe Drinking Water Loan Program (SDWLP) FINAL Funding List to be posted in October.

We anticipate posting the Clean Water Fund Program (CWFP) Principal Forgiveness DRAFT Funding List in early November.

The postmark deadline for SFY 2015 CWFP Applications competing for Principal Forgiveness (PF) is September 30, 2014.

The CWFP and the SDWLP SFY 2015 Final Funding Lists will show the allocation of loan and PF dollars for each project. Both of the respective Intended Use Plans (IUP) identify the allocation methodology for their PF funds.

Funding Lists and IUPs for both loan programs are available on our webpage, [dnr.wi.gov/Aid/documents/EIF/news/SDWLP\\_SFY2015\\_DRAFT\\_Funding\\_List.pdf](http://dnr.wi.gov/Aid/documents/EIF/news/SDWLP_SFY2015_DRAFT_Funding_List.pdf).

Direct SDWLP questions to Mary E. Wagner at 608-267-7475 or [marye.wagner@wisconsin.gov](mailto:marye.wagner@wisconsin.gov).

Direct CWFP questions to Candice Sovinski at 608-264-8986 or [candice.sovinski@wisconsin.gov](mailto:candice.sovinski@wisconsin.gov).

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**Remember to contact your  
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pre-construction meeting.**

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The *Environmental Loans E-Bulletin* is written by staff in DNR's Environmental Loans Section to provide up-to-date information on both the Clean Water Fund Program and the Safe Drinking Water Loan Program. It is sent out periodically to alert subscribers of the latest news, updates, and upcoming deadlines; to highlight program changes; and to provide assistance on topics that need clarification.